



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 7411

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.	
10/799,205	03/11/2004 RULE	705	4172	61165-5001	
APPLICANTS Henri Waelbroeck, Scarsdale, NY; Fred J. Federspiel, Larchmont, NY; John E. Lopez, Nesconset, NY;					
** CONTINUING DATA ***** This application is a CIP of 10/603,100 06/24/2003 which is a CIP of 09/870,845 05/31/2001 ABN which is a CIP of 09/750,768 12/29/2000 which is a CIP of 09/585,049 06/01/2000					
** FOREIGN APPLICATIONS *****					
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/27/2004					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and <u>/BRUCE I</u> <u>EBERSMAN/</u> Acknowledged <u>Examiner's signature</u>	<input type="checkbox"/> Met after Allowance <u>Initials</u>	STATE OR COUNTRY NY	SHEETS DRAWINGS 11	TOTAL CLAIMS 23	INDEPENDENT CLAIMS 3
ADDRESS MORGAN LEWIS & BOCKIUS LLP 1111 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004 UNITED STATES					
TITLE Block trading system and method providing price improvement to aggressive orders					
FILING FEE RECEIVED 824	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		